

173 U.S. PTO
07/22/03

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No

D-3077

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

APPARATUS AND METHODS FOR TREATING SLEEP APNEA

and invented by:

PFLUEGER ET AL

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are **Application Elements**:

- ☒ Filing Fee
- ☒ Specification having 68 page(s) and including the following:
 - ☒ Title of the Invention
 - ☒ Cross References to Related Applications (if applicable)
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claim(s) as Classified Below
 - ☒ Abstract of the Disclosure
- ☒ 12 Sheets of Drawings(s) (37 CFR 113) ☐ Formal ☒ Informal
- ☒ Oath or Declaration ☐ Executed ☒ Unexecuted
 - ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☒ Power of Attorney ☐ Executed ☒ Unexecuted
 - ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ Incorporation By Reference -- The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under the above entry, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Computer Program in Microfiche (Appendix)

Accompanying Application Parts

- ☐ Assignment Papers (cover sheets & documents(s))
 - ☐ The prior application is assigned of record to _____
 - ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
- ☐ English Translation Document (if applicable)

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- ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Cited Reference(s)
☐ Preliminary Amendment
☒ Acknowledgment postcard
☒ Certificate of Mailing by Express Mail
☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
☒ **Small entity status is entitled to be asserted for this application.**

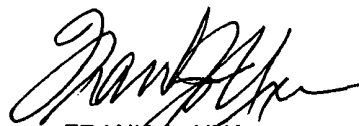
F Calculation and Transmittal

* The filing fee is calculated on the basis of the claims existing in the prior application as amended by the accompanying preliminary amendment noted above.

CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fe
Total Claims	65	- 20 =	45	X \$ 9.00	\$ 0.00
Independent Claims	8	- 3 =		X \$42.00	\$.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$ 0.00
BASIC FEE					\$.00
OTHER FEE (specify purpose)					\$ 0.00
(Applicant has small entity status under 37 CFR 1.9 and 1.27) SMALL ENTITY STATUS					YES
TOTAL FILING FEE					\$.00

- ☐ A check in the amount of \$.00 to cover the filing fee and the assignment fee is enclosed.
☐ The Commissioner is hereby authorized to charge and/or credit Deposit Account Number as described below.
☐ Charge the amount of \$ as filing fee.
☐ Credit any overpayment.
☐ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.

Respectfully Submitted,



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DOCKET NO.: D-3077

THE ENCLOSED PROVISIONAL PATENT APPLICATION OF PFLUEGER
IS BEING FILED IN ACCORDANCE WITH SECTION 37 CFR 1.10 BY
EXPRESS MAIL AND SHOULD BE ACCORDED A FILING DATE:

July 22, 2003

SEE THE EXPRESS MAIL CERTIFICATE ATTACHED TO THE APPLICATION.

D-3077

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT

In re application of:

PFLUEGER et al)	Group Art Unit: N/A
)	
Serial No. N/A)	Examiner: N/A
)	
Dated: Submitted herewith)	
)	
For: APPARATUS AND METHODS FOR)	
TREATING SLEEP APNEA)	

Express Mail Mailing Label No. EV059889785US


Date of Deposit: July 22, 2003

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Attn: Box Provisional Patent Application, Washington, D.C. 20231:

1. Application Transmittal Sheet;
2. Application;
3. 12 sheets of drawings;
4. Declaration (unsigned);
5. Return receipt postcard.

The 5 above-identified documents are enclosed herewith.

Respectfully submitted,



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